

12/14/01
3698 U.S. PTO

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12-18-01

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PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

NEW UTILITY PATENT APPLICATION TRANSMITTAL <i>(only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket Number	21153-5920
	First Named Inventor	Sol P. DiJaili
	Title	Optical Crossbar Using Leasing Semiconductor Optical Amplifiers
	Express Mail Label No.	EL566298827US

11036 U.S. PTO
10/020527

12/14/01

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)	7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	8. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
3. <input checked="" type="checkbox"/> Specification Total Pages 45 <i>(preferred arrangement set forth below)</i>	9. <input type="checkbox"/> Power of Attorney or Authorization of Agent
<input type="checkbox"/> Descriptive Title of the Invention <input type="checkbox"/> Cross Reference(s) to Related Case(s) <input type="checkbox"/> Statement Regarding Fed sponsored R & D <input type="checkbox"/> Background of the Invention <input type="checkbox"/> Brief Summary of the Invention <input type="checkbox"/> Brief Description of the Drawing(s) <input type="checkbox"/> Detailed Description <input type="checkbox"/> Claim or Claims <input type="checkbox"/> Abstract of the Disclosure	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 15	11. <input type="checkbox"/> Preliminary Amendment
5. Oath or Declaration	12. <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Citation(s)
a. <input checked="" type="checkbox"/> New Declaration Total Pages 3 <input checked="" type="checkbox"/> Executed (original)	13. <input checked="" type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i>	14. <input checked="" type="checkbox"/> Return Postcard
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	15. <input type="checkbox"/>
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	16. <input type="checkbox"/>
	17. <input type="checkbox"/>
ADDRESS TO: Box Patent Application Commissioner for Patents Washington, D.C. 20231	


18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: ____/____

Prior application information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number and Bar Code Label	 00758
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Name (Print/Type)	Michael V. North	Registration No. (Attorney/Agent)	46,963
Signature			Date Dec. 14, 2001

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified) Rev. 10/2001	U.S. Department of Commerce Patent and Trademark Office	Complete if Known	
FEE TRANSMITTAL		Application Number	Unassigned
		Filing Date	Herewith
		First Named Inventor	Sol DiJaili
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
TOTAL AMOUNT OF PAYMENT		Attorney Docket Number	21153-05920
Subtotal (1) + Subtotal (2) + Subtotal (3) =		\$ 833.00	

METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. The Commissioner is hereby authorized to:		3. ADDITIONAL FEES	
<input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account.			
<input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †			
<input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27			
Deposit Account Number: 19-2555 Deposit Account Name: FENWICK & WEST LLP			
A Duplicate Copy of this authorization is attached			
2. <input checked="" type="checkbox"/> Payment Enclosed:			
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Other			
FEE CALCULATION (fees effective 10/01/2001)			
1. FILING FEE			
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$740	201/\$370	Utility Filing	370
106/\$330	206/\$165	Design Filing	
108/\$740	208/\$370	Reissue	
114/\$160	214/\$80	Provisional Filing	
SUBTOTAL (1) \$ 370.00			
2. CLAIMS			
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
103/\$18	203/\$9	Claims in excess of 20	
102/\$84	202/\$42	Independent claims in excess of 3	
104/\$280	204/\$140	Multiple dependent claim	
109/\$84	209/\$42	Reissue independent claims over original patent	
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent	
		Other fee (specify):	
		Other fee (specify):	
		SUBTOTAL (3) \$ 40.00	

(Col. 1)		(Col. 2)		(Col. 3)		Fee	Fee Due
For	No. of Existing Claims		Highest No. Previously Paid For	Extra**			
TOTAL	47	minus*	20 or 0	=	27	x 9	= \$423
INDEP	3	minus*	3 or 0	=	0	x	= 0
[] First presentation of multiple dependent claim							

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) \$ 423.00

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Michael V. North	Reg Number	46,963
Signature		Date	December 14, 2001

† Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby

21153/05920/DOCS/1230010.1

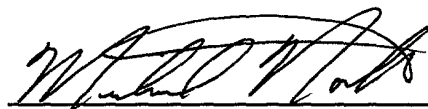
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NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	Sol P. DiJaili
	Title	Optical Crossbar using Lasing Semiconductor Optical Amplifiers
	Atty Docket Number	21153-05920

I hereby certify that the invention disclosed in the attached application **has not been and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

December 14, 2001

Date



Signature

Michael V. North / Reg. No. 46,963

Typed or printed name/Registration Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.**